

Disclosure

Changes Exempt From the Change of Owner Application

<p>Please use this form to disclose changes exempt from the Change of Owner Application requirement pursuant to Rule 2-245(C), 1 CCR 212-3. The Regulated Marijuana Business must submit this disclosure with any documents required by Rule 2-245(C), 1 CCR 212-3 (Asset Purchase Agreement, revised Operating Agreement, etc.) and the fee(s) required by Rule 2-205(F)(2)(b), 1 CCR 212-3. These changes apply to all licenses held by an entity, and all license numbers must be listed on this disclosure. A single disclosure form may be submitted for multiple licenses held by the affected entity.</p>	
Date Submitted (MMDDYYYY)	Effective Date of Change (MMDDYYYY)
Name of Regulated Marijuana Business or Owner Entity affected	
Regulated Marijuana Business License Number(s) or Owner Entity License Number Affected	Local Licensing Authority or Jurisdiction (if applicable)
<p>NOTE: Attach a separate sheet if additional space is required to list all affected license numbers.</p>	
<p>Fees: Please see fee schedule for current fee on our website: SBG.Colorado.gov/Marijuana-Enforcement</p>	
<p>NOTE: The fee applies to each license affected by the change.</p>	
Type of Change	
<input type="checkbox"/> Change of Entity Type <input type="checkbox"/> Change of Legal Name <input type="checkbox"/> Change of Entity Jurisdiction	
<p>NOTE: The above changes must be disclosed to the Division within fourteen (14) days of the change, and such disclosure must include the documents listed under Rule 2-245(C)(1)(a)-(f). These exemptions apply only if the Owner's Interests will remain the same, and there will be no new Controlling Beneficial Owners.</p>	
<input type="checkbox"/> Reallocation of Owner Interests Among Existing Controlling Beneficial Owner(s)	
<input type="checkbox"/> Passive Beneficial Owner to Controlling Beneficial Owner (applies if the Passive Beneficial Owner was issued an Owner License prior to August 1, 2019 that is associated with the Regulated Marijuana Business and has continuously maintained that license)	
<p>NOTE: The above changes must be disclosed to the Division at the next application submission by the Regulated Marijuana Businesses or Owner Entity (e.g. renewal).</p>	
<input type="checkbox"/> Change of Executive Officer <input type="checkbox"/> Change of Member of Board of Directors	
<p>NOTE: The above changes must be disclosed to the Division within 45 days of the change. The above changes may also require submission of request(s) for finding of suitability pursuant to Rule 2-235(1)(E).</p>	

Identification of Disclosed Person(s)

List all individuals or entities affected by this change

Reason for Disclosure

- Legal Name Change
 Removed from Ownership
 Entity Type Change
 PBO to CBO
 Jurisdiction Change
 Reallocation of Owner's Interest: New _____%
 Added - Executive Officer/Board Member
 Owner's Interest Percent (If Applicable) _____%
 Removed - Executive Officer/Board Member

Name	
Title	Owner's License Number (If Known)
Date of Birth (If Natural Person) (MMDDYYYY)	SSN/EIN
New Entity Type (If Applicable)	New Jurisdiction (If Applicable)
New Legal Name (If Applicable)	

Reason for Disclosure

- Legal Name Change
 Removed from Ownership
 Entity Type Change
 PBO to CBO
 Jurisdiction Change
 Reallocation of Owner's Interest: New _____%
 Added - Executive Officer/Board Member
 Owner's Interest Percent (If Applicable) _____%
 Removed - Executive Officer/Board Member

Name	
Title	Owner's License Number (If Known)
Date of Birth (If Natural Person) (MMDDYYYY)	SSN/EIN
New Entity Type (If Applicable)	New Jurisdiction (If Applicable)
New Legal Name (If Applicable)	

NOTE: Attach a copy of this page #2 if additional space is required. Attach a copy of all documents applicable to the above changes (e.g. Operating Agreements, transaction documents).

I hereby affirm the information provided is true and accurate to the best of my knowledge and belief. I further affirm that I am a Controlling Beneficial Owner (CBO) of the Regulated Marijuana Business for which this disclosure applies and am authorized to submit this disclosure for the above-named Regulated Marijuana Business.

Printed Name of Controlling Beneficial Owner	
Signature of Controlling Beneficial Owner	Date (MMDDYYYY)
THIS FORM MUST BE SIGNED IN ADOBE ACROBAT PRO OR READER REQUIRED	
Controlling Beneficial Owner Email Address	